

FORM PTO-1390  
(REV 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**09/647300**

INTERNATIONAL APPLICATION NO.

**PCT/16 00/00189**

INTERNATIONAL FILING DATE

**22 FEBRUARY 2000 (22.02.2000)**

PRIORITY DATE CLAIMED

**25 FEBRUARY 1999 (25.02.1999)**

TITLE OF INVENTION **SPEECH RECOGNITION AND SIGNAL ANALYSIS BY STRAIGHT  
SEARCH OF SUBSEQUENCES WITH MAXIMAL CONFIDENCE MEASURE**

APPLICANT(S) FOR DO/EO/US

**SILAGHI, MARIUS, CALIN**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(3)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

- declaration about the translation of the priority document  
- declaration concerning the Information Disclosure Statement

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/647300</b>		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b> PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	4 - 20 =		X \$18.00	\$	
Independent claims	1 - 3 =		X \$78.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 970	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ 485	
<b>SUBTOTAL =</b>				\$ 485	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 485	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0	
<b>TOTAL FEES ENCLOSED =</b>				\$ 485	
				Amount to be refunded:	\$
				charged:	\$

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SILAGHI, ANNE, MELODY  
 RUE DE LA BLANCHERIE 13  
 CH-1022 CHAVANNE PRES-RENIENS  
 SWITZERLAND

0002 2-100

RECEIVED

SIGNATURE:

SILAGHI, MARIUS, CALIN  
 NAME

REGISTRATION NUMBER

## **Declaration**

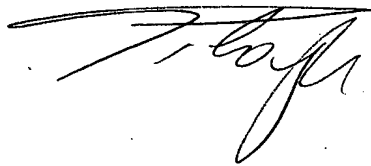
**I am Marius Calin SILAGHI, Romanian citizen, owner of the Romanian Passport number 002006201, delivered 04.SEP.1995 by the Police of Maramures, and valid until 04.SEP.2005. I am born at 07.APR.1973 in Baia-Mare, Romania, and I have my permanent residence at:**

**Marius-Calin Silaghi,  
Vlad Tepes 7/30  
Baia-Mare 4800,  
Maramures, Romania.**

**I declare here that the International PCT Application PCT/IB00/00189 filled at 22.FEB.2000 is the translation of the Romanian Application 99/00214 whose priority is requested.**

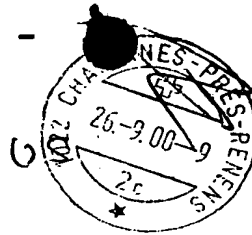
**This declaration was written for entry in the national stage in US with the aforementioned PCT application, since the cited priority document was written in Romanian.**

**Written, today 12.SEP.2000 in Lausanne.**

A handwritten signature in black ink, appearing to read 'M. Silaghi', is written over a horizontal line.

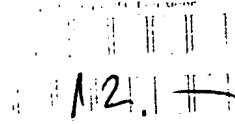
Marius-Călin Silaghi  
Blancherie 13  
CH- 1622 Chavannes  
Switzerland

2.151



Commissioner of PATENTS and  
TRADEMARKS  
Box PCT, Washington D. C.  
20231 USA

854.95 CHF  
U.S.— USD



70>51652490>70+9

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The PTO did not receive the following  
listed item(s)

NO POST CARD

APOSTILLE  
(Convention de La Haye du 5 octobre 1961)



1. Pays: SUISSE

Le présent acte public

2. a été signé par Jean-Pierre Saxer

3. agissant en qualité de juge de paix

4. est revêtu du sceau/timbre de Juge de Paix du Cercle de Lausanne

Attesté

5. à Lausanne 6. le 12 septembre 2000

7. par la Chancellerie d'Etat du Canton de Vaud

8. sous No 6927



Sceau/timbre:

10. Signature  
pr le Chancelier d'Etat:

Document: Fr. 25,--

L. Volckeva